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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

Filed: October 1, 2001

For: ON-LINE REINSURANCE
CAPACITY AUCTION SYSTEM
AND METHOD

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Art Unit: 2166

Examiner: Not Yet Assigned

THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Third Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Third Information Disclosure Statement is filed, please accept this Third Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Third Information Disclosure Statement.

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Serial No.: 09/967,045
Art Unit: 2166

Attorney's Docket No.: SRE0001-US
Page 2

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

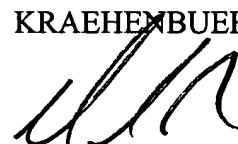
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Date: March 8, 2004

Respectfully submitted,

KRAEHENBUEHL, ET AL.

By:


Michael D. Bednarek
Registration No. 32,329

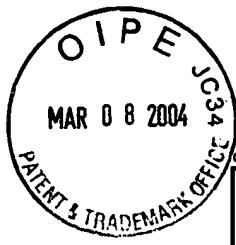
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PATENT TRADEMARK OFFICE



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Sheet 1 of 4

| | |
|------------------------|----------------------|
| Application Number | 09/967,045 |
| Filing Date | October 1, 2001 |
| First Named Inventor | KRAEHENBUEHL, ET AL. |
| Art Unit | 2166 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | SRE0001-US |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Sheet 2 of 4

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| | |
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U.S. PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 4

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| <p>Sheet 3</p> | | <p>of 4</p> | <table border="1"> <tr> <td>Application Number</td> <td>09/967,045</td> </tr> <tr> <td>Filing Date</td> <td>October 1, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>KRAEHENBUEHL, ET AL.</td> </tr> <tr> <td>Art Unit</td> <td>2166</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>SRE0001-US</td> </tr> </table> | | | Application Number | 09/967,045 | Filing Date | October 1, 2001 | First Named Inventor | KRAEHENBUEHL, ET AL. | Art Unit | 2166 | Examiner Name | Not Yet Assigned | Attorney Docket Number | SRE0001-US |
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